

**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2022 or tax year beginning , **2022**, and ending , **20**

Name of foundation
POND FAMILY FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
C/O Ann E Pond Pres 3 CANTER LANE

City or town, state or province, country, and ZIP or foreign postal code
CAPE ELIZABETH, ME 04107

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ **7,365,826** **J** Accounting method: Cash Accrual
 Other (specify)

A Employer identification number
20-1131673

B Telephone number (see instructions)
(207) 767-4421

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	86,016	86,016		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	149,395			
	b Gross sales price for all assets on line 6a 517,678				
	7 Capital gain net income (from Part IV, line 2)		149,395		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	235,411	235,411			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	70,000	23,000		47,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) STM107	2,300	2,300		
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) STM109	90,787	40,541		50,246
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STM110	2,212	2,212		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	4,083	408		3,675
	22 Printing and publications				
	23 Other expenses (attach schedule) STM103	1,170	1,170		
	24 Total operating and administrative expenses. Add lines 13 through 23	170,552	69,631		100,921
	25 Contributions, gifts, grants paid	312,818			312,818
26 Total expenses and disbursements. Add lines 24 and 25	483,370	69,631		413,739	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	(247,959)				
b Net investment income (if negative, enter -0-)		165,780			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	39,053	141,224	141,224
	2 Savings and temporary cash investments	88,681	120,908	120,908
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) STM137	1,858,171	1,728,218	7,103,694
	c Investments - corporate bonds (attach schedule) STM138	158,664		
	11 Investments - land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)				
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe _____)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,144,569	1,990,350	7,365,826	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. <input checked="" type="checkbox"/>			
	24 Net assets without donor restrictions	2,144,569	1,990,350	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. <input type="checkbox"/>			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	2,144,569	1,990,350		
30 Total liabilities and net assets/fund balances (see instructions)	2,144,569	1,990,350		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,144,569
2	Enter amount from Part I, line 27a	2	(247,959)
3	Other increases not included in line 2 (itemize) STM115	3	117,400
4	Add lines 1, 2, and 3	4	2,014,010
5	Decreases not included in line 2 (itemize) STM116	5	23,660
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	1,990,350

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Publicly traded securities				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a 517,678		368,283	149,395	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a			149,395	
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 149,395
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	}		3 (5,998)

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	2,304
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0
3	Add lines 1 and 2	3	2,304
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,304
6	Credits/Payments:		
a	2022 estimated tax payments and 2021 overpayment credited to 2022	6a	4,121
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	4,121
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,817
11	Enter the amount of line 10 to be: Credited to 2023 estimated tax 1,817 Refunded	11	

Part VI-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ _____ (2) On foundation managers. \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <u>ME</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2022 or the tax year beginning in 2022? See the instructions for Part XIII. If "Yes," complete Part XIII		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
14 The books are in care of <u>ANN E POND</u> Telephone no. <u>207-767-4421</u> Located at <u>3 CANTER LANE, CAPE ELIZ., ME</u> ZIP+4 <u>04107</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		<input type="checkbox"/>
16 At any time during calendar year 2022, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)	X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)	X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	X
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)	X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)	X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	X
c Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2022?	1d	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2022, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2022? If "Yes," list the years 20 _____, 20 _____, 20 _____, 20 _____	2a	X
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20 _____, 20 _____, 20 _____, 20 _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a	X
b If "Yes," did it have excess business holdings in 2022 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2022.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2022?	4b	X

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year, did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		X
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?		X
(3)	Provide a grant to an individual for travel, study, or other similar purposes?		X
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d) (4)(A)? See instructions		X
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		X
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
c	Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		X
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?		X

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANN E POND 3 CANTER LANE CAPE ELIZ. ME 04107	PRESIDENT AND D	STMA01 14.00	70,000	0
KYLE POND 62 UPLAND ROAD Waban MA 02468	DIRECTOR	0.20	0	0
JOEL POND 20 ARBORSIDE DR FALMOUTH ME 04105	DIRECTOR	0.20	0	0
ERIN POND FRIEDLAND 1125 PARK AVE. APT. 11B NY NY 10128	DIRECTOR	0.20	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
DONNA BETTS 37 HEARN ROAD SCARBOROUGH ME 04074	CONSULTING AND ADM	STMC01 71,780

Total number of others receiving over \$50,000 for professional services

Part VIII-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part VIII-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	0
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	

Part IX **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,545,595
b	Average of monthly cash balances	1b	216,691
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	7,762,286
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	7,762,286
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)	4	116,434
5	Net value of noncharitable-use assets. Subtract line 4 from line 3	5	7,645,852
6	Minimum investment return. Enter 5% (0.05) of line 5	6	382,293

Part X **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part IX, line 6	1	382,293
2a	Tax on investment income for 2022 from Part V, line 5	2a	2,304
b	Income tax for 2022. (This does not include the tax from Part V.)	2b	
c	Add lines 2a and 2b	2c	2,304
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	379,989
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	379,989
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1	7	379,989

Part XI **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	413,739
b	Program-related investments - total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4	4	413,739

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2021	(c) 2021	(d) 2022
1 Distributable amount for 2022 from Part X, line 7 . . .				379,989
2 Undistributed income, if any, as of the end of 2022:				
a Enter amount for 2021 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2022:				
a From 2017				
b From 2018				
c From 2019			48,240	
d From 2020			62,058	
e From 2021				
f Total of lines 3a through e	110,298			
4 Qualifying distributions for 2022 from Part XI, line 4: ▶ \$ <u>413,739</u>				
a Applied to 2021, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2022 distributable amount				379,989
e Remaining amount distributed out of corpus	33,750			
5 Excess distributions carryover applied to 2022 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	144,048			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2021. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2022. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2023				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2017 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2023. Subtract lines 7 and 8 from line 6a	144,048			
10 Analysis of line 9:				
a Excess from 2018				
b Excess from 2019			48,240	
c Excess from 2020			62,058	
d Excess from 2021				
e Excess from 2022			33,750	

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2022, enter the date of the ruling					
b	Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)	<input type="checkbox"/>	<input type="checkbox"/>			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed	Tax year	Prior 3 years			
		(a) 2022	(b) 2021	(c) 2020	(d) 2019	(e) Total
b	85% (0.85) of line 2a					
c	Qualifying distributions from Part XI, line 4, for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 8, for each year listed					
c	"Support" alternative test - enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

KIRK POND deceased 10-26-2022

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

990APP

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a Paid during the year</p>				
<p>First Congregational Church 301 Cottage Road South Portland ME 04106</p>		PC	General charitable	25,000
<p>Wichita State Univ. Foundation 4205 E. 21st St.N Wichita KS 67208</p>		PC	General charitable	250
<p>Lambda Chi Alpha Ed. Found. 10 W. Carmel Drive, Suite 220 Carmel IN 46032</p>		PC	Educational Endowed Fund	1,000
<p>Making Community Happen 46 Summer Street Rockland ME 04841</p>		PC	Meals on Wheels	1,000
<p>Partners for World Health 40 Walch Drive Portland ME 04103</p>		PC	General charitable	1,000
<p>University of Southern Maine PO Box 9300 Portland ME 04104</p>		PC	School of Social Work annual fund	500
<p>USM Foundation P.O. Box 9300 Portland ME 04104</p>		PC	Susan L. Palmer Scholarship Fund	5,000
<p>Children's Museum & Theatre of ME 250 Thompsons Point Rd. Portland ME 04102</p>		PC	Benefit auction	200
<p>Total</p>				3a
<p>b Approved for future payment</p>				
<p>Total</p>				3b

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
PSL Services/Strive 28 Foden Rd. South Portland ME 04106		PC	General charitable	1,000
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Signs of Hope benefit	10,000
American Lung Association 55 W. Wacker Dr., Suite 1150 Chicago IL 60601		PC	Brunswick Cycle fundraiser	500
Maine Behavioral Health 78 Atlantic Place South Portland ME 04106		PC	Glickman Lauder Center of Excellence	80,000
Mt. Auburn Hospital 330 Mt. Auburn St. Cambridge MA 02138		PC	Michael A. Kjelsberg Memorial Award	10,000
Jewish Community Alliance 1342 Congress St. Portland ME 04102		PC	JFS Diaper Bank	500
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Glickman Lauder Center of Excellence	1,000
Portland Boxing Club 35 Allen Ave. Portland ME 04103		PC	General charitable	12,000
Total				3a
b Approved for future payment				
Total				3b

Part XIV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
Middlebury Congregational Church 1242 Whittemore Rd. Middlebury CT 06762		PC	General charitable	500
Carroll School 45 Waltham Road Wayland MA 01778		PC	Annual Fund	1,000
Services for the Underserved 463 7th Ave., 17th Floor New York NY 10018		PC	General charitable	1,000
Good Shepherd Food Bank PO Box 1807 Auburn ME 04211		PC	General charitable	5,000
Partners for World Health 40 Walch Drive Portland ME 04103		PC	General charitable	2,000
Milestone Recovery 65 India Street Portland ME 04101		PC	General charitable	2,000
Boys and Girls Clubs 277 Cumberland Ave. Portland ME 04101		PC	General Charitable	5,000
Shalom House 106 Gilman Street Portland ME 04102		PC	Annual Appeal	5,000
Total				3a
<i>b Approved for future payment</i>				
Total				3b

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
First Congregational Church 301 Cottage Road South Portland ME 04106		PC	Community Crisis Ministries	25,000
Immigrant Welcome Center 24 Preble Street, 4th Fl. Portland ME 04101		PC	General charitable	5,000
Trinity School 139 West 91st St. New York NY 10024		PC	Trinity Fund	5,000
Buckingham Browne & Nichols School 80 Gerry's Landing Road Cambridge MA 02138		PC	BB&N Fund	5,000
Children's Museum & Theatre of ME PO Box 4041 Portland ME 04101		PC	Community Fund	25,000
Maine Behavioral Healthcare 78 Atlantic Place South Portland ME 04106		PC	Glickman Lauder Center	50,000
Gulf of Maine Research Institute 350 Commercial Street Portland ME 04101		PC	LabVenture Program	5,000
MMC Cardiovascular Institute 22 Bramhall Street Portland ME 04102		PC	Dr. Sze Medicine Fellowship Endowment Fund	1,000
Total				3a
b Approved for future payment				
Total				3b

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a <i>Paid during the year</i></p>				
<p>Maine Cancer Foundation 170 U.S. Route One, Suite 250 Falmouth ME 04105</p>		PC	General charitable	5,000
<p>Understanding Our Differences 100 Walnut Street Newtonville MA 02460</p>		PC	General charitable	5,000
<p>Preble Street 55 Portland St. Portland ME 04101</p>		PC	General charitable	10,000
<p>Maine Behavioral Health 78 Atlantic Place South Portland ME 04106</p>		PC	Glickman Lauder Center-in-kind flowers	1,368
<p>Total</p>				312,818
<p>b <i>Approved for future payment</i></p>				
<p>Total</p>				3b

Part XVI Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?
b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
Ann E Pond Signature of officer or trustee
PRESIDENT Title
Date
May the IRS discuss this return with the preparer shown below? See instructions. [X] Yes [] No

Paid Preparer Use Only Print/Type preparer's name Michael J Quinlan Preparer's signature Michael J Quinlan Date 04-07-2023 Check [] if self-employed PTIN P01356119
Firm's name Jensen Baird Gardner & Henry Firm's EIN
Firm's address Ten Free Street Portland ME 04101 Phone no. 207-775-7271

Federal Supporting Statements

2022 PG01

Name(s) as shown on return

POND FAMILY FOUNDATION

Tax ID Number

20-1131673

Form 990PF - Part VII
Compensation Explanation

Statement #A01

Name

ANN E POND

Explanation

FOR SERVICES RENDERED AS PRESIDENT OF CORPORATION AND OVERSEEING GRANT MAKING.

Form 990PF - Part VII - Line 3
Contractor Compensation Explanation

PG01
Statement #C01

Name

DONNA BETTS

Explanation

GRANT AND FOUNDATION ADMINISTRATION AND CONSULTING

Form 990PF - Part III - Line 3
Other Increases Schedule

PG01
Statement #115

Uncleared checks at 12-31-22	117,400
Total	117,400

Form 990PF - Part III - Line 5
Other Decreases Schedule

PG01
Statement #116

Uncleared checks at end of 2021	23,090
Miscellaneous adjustment	570
Total	23,660

Federal Supporting Statements

2022 PG01

Name(s) as shown on return

POND FAMILY FOUNDATION

Tax ID Number

20-1131673

Form 990PF - Part II - Line 10(b)
Investments: Corporate Stock Schedule

Statement #137

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
HMPAYSON MANAGED ACCT.	1,858,171	1,728,218	7,103,694
Totals	1,858,171	1,728,218	7,103,694

Form 990PF - Part II - Line 10(c)
Investments: Corporate Bond Schedule

PG01
Statement #138

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
HM PAYSON MANAGED ACT	158,664		
Totals	158,664		

Federal Supporting Statements

2022 PG01

Tax ID Number

20-1131673

Name(s) as shown on return

POND FAMILY FOUNDATION

Statement #103~

Form 990PF - Part I - Line 23 - Other Expenses Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
CORPORATE ANNUAL REPORT FEE	35	35	0	0
MISC. OFFICE EXPENSES	1,135	1,135	0	0
Bond Premium	0	0	0	0
Totals	<u>1,170</u>	<u>1,170</u>	<u>0</u>	<u>0</u>

PG01

Statement #107~

Form 990PF - Part I - Line 16(a) - Legal Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
JENSEN BAIRD	2,300	2,300	0	0
Totals	<u>2,300</u>	<u>2,300</u>	<u>0</u>	<u>0</u>

Federal Supporting Statements

2022 PG01

Tax ID Number

20-1131673

Name(s) as shown on return

POND FAMILY FOUNDATION

Statement #109~

Form 990PF - Part I - Line 16(c) - Other Professional Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
HM PAYSON	19,007	19,007	0	0
DONNA BETTS	71,780	21,534	0	50,246
Totals	90,787	40,541	0	50,246

PG01

Statement #110~

Form 990PF - Part I - Line 18 - Taxes Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
IRS EXCISE TAX	0	0	0	0
FOREIGN TAXES	212	212	0	0
IRS ESTIMATED TAX PAYMENTS	2,000	2,000	0	0
Totals	2,212	2,212	0	0

Federal Supporting Statements

(This page is e-filed with the return. Include it if paper-filing.)

2022 PG01

Name(s) as shown on return

POND FAMILY FOUNDATION

Your Social Security Number

20-1131673

Form 990PF - Part XIV - Line 2

Application Submission Information

Grant Program

GENERAL

Applicant Name

ANN ST. JOHN POND

Address

3 CANTER LANE
CAPE ELIZABETH ME 04107

Telephone

207-767-4421

Email Address

NSTJOHN46@HOTMAIL.COM

Form & Content

THERE IS NO PRESCRIBED FORM IN WHICH THE APPLICATIONS SHOULD BE SUBMITTED. THE APPLICANT MUST SUBMIT MATERIALS REGARDING ITS IRS TAX EXEMPT STATUS AND EXPLAIN THE PURPOSE FOR THE REQUESTED GRANT.

Submission Deadline

NO SUBMISSION DEADLINE

Restrictions on Award

THE FOUNDATION HAS LIMITED RESOURCES AND LIMITS GRANTS TO TAX EXEMPT ORGANIZATIONS WHICH QUALIFY AS PUBLIC CHARITIES.

Account Number: **5990712211**
 December 01, 2022 To December 31, 2022

Pond Family Foundation
EIN: 20-1131673
2022 990-AF, Part II

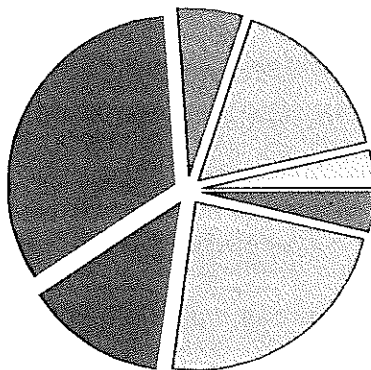
Account Activity Summary

	This Period	Year To Date	Realized Capital Gains / Losses	
			This Period	Year To Date
Beginning Market Value	7,673,659.05	9,915,586.73		
Dividend Income	12,548.23	80,502.49	Long Term	0.00
Interest Income	658.59	4,615.84	Short Term	0.00
Cash Deposits	13,206.82	85,221.06	Total Gains / Losses	0.00
Pymts To/for Beneficiaries	150,000.00 -	470,000.00 -		
Fees	1,526.19 -	19,006.93 -		
Withdrawals And Distributions	13,206.82 -	85,118.33 -		
Taxes And Other Expenses	0.00	2,000.00 -		
Change In Market Value	310,738.09 -	2,285,199.27 -		
Ending Market Value	7,224,601.59	7,224,601.59		

Asset Statement

Description	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Current Yield
Cash And Cash Equivalents				
FDIC INSURED MONEY MARKET DEPOSIT ACCOUNT	120,907.70	1.00	4,582.40	3.79
	120,907.70	1.00		
* Total Cash And Cash Equivalents	120,907.70 ✓		4,582.40	3.79
	120,907.70 ✓		0.00	

Equity / Stocks Diversification Summary



Industry Sector	Market Value	Percent
COMMUNICATION SERVICES	264,690.00	3.7%
CONSUMER DISCRETIONARY	1,156,003.00	16.3%
CONSUMER STAPLES	441,365.25	6.2%
HEALTH CARE	2,342,625.44	33.0%
INDUSTRIAL	959,190.00	13.5%
INFORMATION TECHNOLOGY	1,670,985.00	23.5%
MUTUAL FUNDS - EQUITY	268,835.20	3.8%
Total	7,103,693.89	100.0%

Asset Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Current Yield
Equity / Stocks						
Communication Services						
ALPHABET INC CAP STK CL A	GOOGL	3,000.000	264,690.00	88.23		
			79,939.99	26.65	184,750.01	
** Total Communication Services		Sub-Total	264,690.00		0.00	0.00
			79,939.99		184,750.01	
Consumer Discretionary						
AMAZON.COM INC	AMZN	2,000.000	168,000.00	84.00		
			83,825.25	41.91	84,174.75	
HOME DEPOT INC	HD	750.000	236,895.00	315.86	5,700.00	2.41
			124,746.95	166.33	112,148.05	
MCDONALDS CORP COM	MCD	200.000	52,706.00	263.53	1,216.00	2.31
			51,833.00	259.17	873.00	
NIKE INC CL B	NKE	4,200.000	491,442.00	117.01	5,712.00	1.16
			64,373.00	15.33	427,069.00	
TJX COS INC NEW	TJX	2,600.000	206,960.00	79.60	3,068.00	1.48
			24,189.97	9.30	182,770.03	
** Total Consumer Discretionary		Sub-Total	1,156,003.00		15,696.00	1.36
			348,968.17		807,034.83	
Consumer Staples						
PROCTER & GAMBLE CO	PG	2,000.000	303,120.00	151.56	7,306.00	2.41
			87,451.45	43.73	215,668.55	
WALMART INC	WMT	975.000	138,245.25	141.79	2,184.00	1.58
			44,548.17	45.69	93,697.08	
** Total Consumer Staples		Sub-Total	441,365.25		9,490.00	2.15
			131,999.62		309,365.63	
Health Care						
AMGEN INC	AMGN	800.000	210,112.00	262.64	6,816.00	3.24
			148,612.16	185.77	61,499.84	
IDEXX LABORATORIES INC	IDXX	3,964.000	1,617,153.44	407.96		
			17,719.08	4.47	1,599,434.36	
JOHNSON & JOHNSON COM	JNJ	1,200.000	211,980.00	176.65	5,424.00	2.56
			79,617.05	66.35	132,362.95	
PENUMBRA INC COM	PEN	500.000	111,230.00	222.46		
			61,088.00	122.18	50,142.00	
PFIZER INC	PFE	3,750.000	192,150.00	51.24	6,150.00	3.20
			57,475.27	15.33	134,674.73	
** Total Health Care		Sub-Total	2,342,625.44		18,390.00	0.79
			364,511.56		1,978,113.88	
Industrial						

Account Number: 5990712211

December 01, 2022 To December 31, 2022

Asset Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Current Yield
Industrial						
GENERAL DYNAMICS CORP	GD	900.000	223,299.00 56,877.93	248.11 63.20	4,536.00 166,421.07	2.03
L3HARRIS TECHNOLOGIES INC COM	LHX	600.000	124,926.00 47,076.00	208.21 78.46	2,688.00 77,850.00	2.15
RAYTHEON TECHNOLOGIES CORP COM	RTX	2,000.000	201,840.00 112,182.48	100.92 56.09	4,400.00 89,657.52	2.18
WEX INC COM	WEX	2,500.000	409,125.00 54,675.00	163.65 21.87	354,450.00	
** Total Industrial		Sub- Total	959,190.00 270,811.41		11,624.00 688,378.59	1.21
Information Technology						
APPLE INC	AAPL	4,800.000	623,664.00 133,867.00	129.93 27.89	4,416.00 489,797.00	0.71
CISCO SYSTEMS INC	CSCO	4,000.000	190,560.00 105,799.60	47.64 26.45	6,080.00 84,760.40	3.19
MICROSOFT CORP	MSFT	2,550.000	611,541.00 73,059.91	239.82 28.65	6,936.00 538,481.09	1.13
ORACLE CORP	ORCL	3,000.000	245,220.00 57,582.35	81.74 19.19	3,840.00 187,637.65	1.57
** Total Information Technology		Sub- Total	1,670,985.00 370,308.86		21,272.00 1,300,676.14	1.27
Mutual Funds - Equity						
ISHARES RUSSELL MID-CAP GROWTH (MKT)	IWP	2,400.000	200,640.00 111,888.00	83.60 46.62	1,543.20 88,752.00	0.77
VANGUARD GROWTH ETF (MKT)	VUG	320.000	68,195.20 49,790.40	213.11 155.60	479.68 18,404.80	0.70
** Total Mutual Funds - Equity		Sub- Total	268,835.20 161,678.40		2,022.88 107,156.80	0.75
* Total Equity / Stocks			7,103,693.89 1,728,218.01		78,494.88 5,375,475.88	1.10
Grand Total Assets			7,224,601.59 1,849,125.71		83,077.28 5,375,475.88	1.15

Transaction Statement

Date	Description	Principal Cash	Income Cash	Cost	Gain / Loss
	Beginning Balance	0.00	0.00	1,987,445.08	